ASCAT Wind Product User Manual

Ocean and Sea Ice SAF

Global OSI SAF 25-km wind product (OSI-102)
Global OSI SAF 12.5-km wind product (OSI-103)
Global OSI SAF coastal wind product (OSI-104)
Regional EARS 25-km wind product
Regional EARS 12.5-km wind product

Version 1.11
March 2012
**DOCUMENT SIGNATURE TABLE**

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**DOCUMENTATION CHANGE RECORD**

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<td>Minor</td>
<td>Added release note (sec. 1.4)</td>
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<td>NetCDF product format included</td>
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<td>Feb 2011</td>
<td>Major</td>
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<td>Minor</td>
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**KNMI, De Bilt, the Netherlands**

Reference: SAF/OSI/CDOP/KNMI/TEC/MA/126

Cover illustration: ASCAT winds over the Atlantic on 7 February 2007, around 21 hour UTC (red arrows). In this picture, only half of the ASCAT wind vectors, with 25-km cell spacing, are plotted. The blue and purple arrows show a 3-hour forecast of the winds by the KNMI High-Resolution Limited Area Model (HiRLAM). In the background, a METEOSAT infrared image is shown in black and white.
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1. Introduction

1.1. Overview

The EUMETSAT Ocean and Sea Ice Satellite Application Facility (OSI SAF) produces a range of air-sea interface products, namely: wind, sea ice characteristics, Sea Surface Temperatures (SST) and radiative fluxes, Surface Solar Irradiance (SSI) and Downward Long wave Irradiance (DLI).

KNMI is involved in the OSI SAF and the EUMETSAT Advanced Retransmission Service (EARS) ASCAT service as the centre where the Level 1b to Level 2 wind processing is carried out. This document is the Product User Manual to the ASCAT wind products. Quality monitoring information on this product and more general information on the whole OSI SAF project, is available on the OSI SAF web site: http://www.osi-saf.org/. The user is strongly encouraged to register on this web site in order to receive the service messages and the latest information about the OSI SAF products.

The wind products are distributed in two resolutions: a 50-km resolution product with 25-km cell spacing and a 25-km resolution product with 12.5-km cell spacing. Both resolutions are available as a regional (mainly covering the Northern Hemisphere) EARS product with a timeliness of approximately 25 minutes from sensing time and as a global OSI SAF product with a timeliness of approximately 1.5-2 hours from sensing time. Moreover, a global OSI SAF coastal product is available with 12.5-km cell spacing. The wind characteristics in full sea regions are comparable to those of the nominal 12.5-km product but additionally more wind data closer to the coast are available.

The scatterometer is an instrument that provides information on the wind field near the ocean surface, and scatterometry is the knowledge of extracting this information from the instrument’s output. Space-based scatterometry has become of great benefit to meteorology and climate in the past years, see e.g. [Ref-1].

KNMI has a long experience in scatterometer processing and is developing generic software for this purpose. Processing systems have been developed for the ERS, NSCAT, SeaWinds and ASCAT scatterometers. Scatterometer processing software is developed in the EUMETSAT Numerical Weather Prediction Satellite Application Facility (NWP SAF), whereas wind processing is performed operationally in the Ocean and Sea Ice SAF (OSI SAF) and in the EARS project.

EUMETSAT makes available near real-time Level 1b scatterometer products from the Metop satellite through EUMETCast (global data) and through a private network (regional data). These products are used as basis for further processing at KNMI.

The OSI SAF and EARS products are delivered on request through the KNMI FTP server to all users and through the EUMETCast system. See also http://www.knmi.nl/scatterometer/ for real-time graphical examples of the products and up-to-date information and documentation.

This user manual outlines user information for the OSI SAF and EARS products based on the ASCAT scatterometer. Section 2 presents a brief description of the ASCAT instrument, section 3 the processing algorithms, and section 4 gives an overview of the data processing configuration. Section 5 provides details on how to access the products. Detailed information on the file content and format is given in section 6, while in section 7 the product quality is elaborated.

This manual covers the following products:

- OSI SAF ASCAT 25-km wind product (OSI-102, acronym ASCAT25)
OSI SAF ASCAT 12.5-km wind product (OSI-103, acronym ASCAT12)
OSI SAF ASCAT 12.5-km coastal wind product (OSI-104, acronym ASCAT12+)
EARS ASCAT 25-km wind product (acronym EARS-ASCAT-25)
EARS ASCAT 12.5-km wind product (acronym EARS-ASCAT-12)

Products OSI-102 and OSI-103 also contain soil moisture information over land, the coastal and EARS products do not contain soil moisture information.

1.2. Disclaimer

All intellectual property rights of the OSI SAF and EARS products belong to EUMETSAT. The use of these products is granted to every interested user, free of charge. If you wish to use these products, EUMETSAT's copyright credit must be shown by displaying the words "copyright (year) EUMETSAT" on each of the products used.

The OSI SAF and EUMETSAT are much interested in receiving your feedback, would appreciate your acknowledgment in using and publishing about the data, and like to receive a copy of any publication about the application of the data. Your feedback helps us in maintaining the resources for the OSI SAF wind services.

1.3. References

[Ref-8] Stoffelen, Ad, Siebren de Haan, Yves Quilfen, and Harald Schyberg, ERS Scatterometer Ambiguity Removal Comparison, OSI SAF report, 2000 (*)
[Ref-9] Portabella, M., Stoffelen, A., Quality Control and Wind Retrieval for SeaWinds, EUMETSAT fellowship report, 2002 (*)
[Ref-11] EUMETSAT, ASCAT Level 1 Product Generation Function Specification, EUM.EPS.SYS.SPE.990009
[Ref-12] Bonekamp, H., ASCAT BUFR File name conventions, EUM/MET/TEN/06/0377
[Ref-13] ADDITIONS TO BUFR/CREX TABLES FOR PRE-OPERATIONAL IMPLEMENTATION ENDORSED BY CBS for full operational status on 7 November 2007 (updated 04/01/07), pp55-60, available on http://www.wmo.int/web/www/WMOCodes/Updates/BUFRCREX/Preoperational050107.doc


[Ref-18] Stoffelen, A., A Generic Approach for Assimilating Scatterometer Observations, ECMWF seminar, 2000 (*)


References marked with a (*) are available on http://www.knmi.nl/scatterometer/publications/.

1.4. Useful links

KNMI scatterometer web site: http://www.knmi.nl/scatterometer/
- Information on OSI SAF activities at KNMI: http://www.knmi.nl/scatterometer/osisaf/
- Information on EARS activities at KNMI: http://www.knmi.nl/scatterometer/ears/

Information on EARS and EUMETCast: http://www.eumetsat.int/

O&SI SAF wind product documentation on http://www.osi-saf.org/: Scientific documents:
1.5. **Limitations of the ASCAT winds**

The following restrictions and limitations hold:

1) Although the Level 1 product is fully calibrated now using three transponders on the ground, the backscatter values are corrected using a calibration table, derived from a geophysical wind model. The backscatter values in the Level 2 wind product are however plain copies of those in the Level 1 product. The corrections are very small and expected to be incorporated into a new version of the Geophysical Model Function.

2) The backscatter data and noise values ($K_p$) in the coastal product are computed as part of the level 2 wind processing and they are not scientifically validated, other than by validation of the wind retrieval properties in the coastal products.

3) The provision of the full resolution ASCAT backscatter product from EUMETSAT to KNMI, which is necessary for the coastal wind product processing, is not considered an operational process. Hence the coastal wind product can not have a fully operational status.

These restrictions and limitations are subject to further study.

1.6. **History of product changes**

Here is an historical overview of the changes in the ASCAT wind products:

28-Mar-2007  OSI SAF ASCAT 25-km demonstration wind product available on EUMETCast. ASCAT Wind Data Processor software version is 1_0i.

23-May-2007  Processing transferred to operational KNMI server.

10-Oct-2007  AWDP version 1_0k: adapted to the improved calibration of the Level 1 product. Wind product status is pre-operational now.

12-Dec-2007  AWDP version 1_0l: OSI SAF ASCAT 25-km winds are available on the GTS.
18-Mar-2008  AWDP version 1.0.13: adapted to the three-transponder calibration of the Level 1 product.

20-Nov-2008  AWDP version 1.0.15: change to neutral winds (0.2 m/s added to the wind speeds) and improved calibration of the level 1 product (activated at 2 December).

03-Dec-2008  OSI SAF ASCAT 25-km wind product has the operational status.

11-Dec-2008  EARS ASCAT 25-km and 12.5-km winds are available to all users.

03-Mar-2009  OSI SAF ASCAT 12.5-km wind product is available with the operational status.

21-Apr-2009  AWDP version 1.0.17: upgrades in BUFR encoding.

01-Sep-2009  AWDP version 1.0.18: wind products are available in NetCDF now on the KNMI FTP server.

08-Sep-2009  EARS ASCAT data are available on the GTS.

12-Jan-2010  AWDP version 1.0.19: products in BUFR Edition 4 and up to four wind solutions present in 25-km BUFR products.

02-Feb-2010  OSI-SAF products disseminated on EUMETCast are also available to users in Africa and the Americas now.

28-Feb-2011  Both wind and soil moisture information are available in a multi-parameter BUFR product.

16-Aug-2011  AWDP version 2.1.00: up to four wind solutions present in the 12.5-km and coastal BUFR products, use of NWP Ocean Calibration for more uniform wind characteristics across the swath.

23-Aug-2011  AHRPT data from a number of ground stations are now available in addition to the EARS ASCAT data acquired at Svalbard.
2. The ASCAT scatterometer instrument

The Advanced SCATterometer (ASCAT) is one of the instruments carried on-board the Meteorological Operational (Metop) polar satellites launched by the European Space Agency (ESA) and operated by the EUropean organisation for the exploitation of METeorological SATellites (EUMETSAT). Metop-A, the first in a series of three satellites, was launched on 19 October 2006 and two successors are planned to be launched approximately 4-5 years after each other.

ASCAT is a real aperture radar using vertically polarised antennas. It transmits a long pulse with Linear Frequency Modulation (‘chirp’). Ground echoes are received by the instrument and, after de-chirping, the backscattered signal is spectrally analysed and detected. In the power spectrum, frequency can be mapped into slant range provided the chirp rate and the Doppler frequency are known. The above processing is in effect a pulse compression, which provides range resolution.

Two sets of three antennas are used to generate radar beams looking 45 degrees forward, sideways, and 45 degrees backwards with respect to the satellite’s flight direction, on both sides of the satellite ground track. These beams illuminate approximately 550 km-wide swaths (separated by about 700 km) as the satellite moves along its orbit, and each provide measurements of radar backscatter from the sea surface on a 25 km or 12.5 km grid, i.e. each swath is divided into 21 or 41 so-called Wind Vector Cells (WVCs). This brings the effective swath width to 525 km (21x25) or 512.5 km (41x12.5) for the 25-km and 12.5-km products, respectively. For each WVC, we obtain three independent backscatter measurements using the three different viewing directions and separated by a short time delay. As the backscatter depends on the sea surface roughness as a function of the wind speed and direction at the ocean surface, it is possible to calculate the surface wind speed and direction by using these ‘triplets’ within a mathematical model.

The instrument operates at a frequency of 5.255 GHz (C-band), which makes it rather insensitive to rain.

Figure 1: ASCAT wind scatterometer geometry (source: EUMETSAT web site).
3. Algorithms

Scatterometry was developed heuristically. It was found experimentally that the sensitivity to wind speed and direction describe well the changes in backscatter over the ocean at moderate incidence angles due to changes in surface roughness, as depicted in figure 2 [Ref-2]. In return, backscatter measurements can be used to determine the wind speed and wind direction in a WVC.

A schematic illustration of the processing is given in figure 3. After defining the wind output and motivating the Geophysical Model Function that is used, the algorithms developed at KNMI are described.

Figure 2: Schematic representation of microwave scattering and reflection at a smooth (a), rough (b) and very rough (c) ocean surface. As the roughness increases more microwave power is returned towards the direction of the microwave source.

3.1. Wind definition

A scatterometer measurement relates to the ocean surface roughness (see figure 2), while the scatterometer product is represented by the wind at 10m height over a WVC. It is important to realize that in the approach followed here the radar backscatter measurement $\sigma_0$ is related to the wind at 10 meter height above the ocean surface, simply because such measurements are widely available for validation. This means that any effect that relates to the mean wind vector at 10 meter height is incorporated in the backscatter-to-wind
relationship. As such, air stability, the appearance of surface slicks, and the amplitude of gravity or longer ocean waves, depend to some degree on the strength of the wind and may, to the same degree, be fitted by a geophysical model function, GMF ([Ref-3]; Chapter I). Stoffelen ([Ref-3]; Chapter IV) discusses a unique method to determine the accuracy of scatterometer, buoy, and NWP model winds.

3.1.1. Geophysical model function

For the OSI SAF and EARS ASCAT wind products the CMOD5.n geophysical model function (GMF) for calculating equivalent neutral winds is used [Ref-21]. The equivalent neutral wind is defined as the wind in case of a fully stratified (or stable) atmosphere. Scatterometers respond to changes in the water surface and the wind speed increases with height but this increase depends on the atmospheric stability. Since the atmospheric stability is not directly measured, it makes sense to provide the scatterometer winds as neutral winds. The difference between equivalent neutral winds and real winds at 10 m is usually in the order of 0.2 m/s [Ref-22].

The geophysical model function enables the calculation of wind speeds meeting the product requirements between 0 and 25 m/s. CMOD5.n is based on CMOD5 [Ref-4]. It is known from extensive validation work on ERS that a CMOD5 bias of 0.5 m/s against buoys persists for all wind speeds [Ref-20] and this is compensated. Moreover, another 0.2 m/s is added to convert from real winds to equivalent neutral winds. The CMOD5.n inverted winds are thus 0.7 m/s higher than CMOD5 winds.

At low wind speeds the wind direction and speed may vary considerably within the WVC. Locally, below a speed of roughly 2 m/s calm areas are present where little or no backscatter occurs, perhaps further extended in the presence of natural slicks that increase the water surface tension [Ref-5]. However, given the variability of the wind within a footprint area of 25 km it is, even in the case of zero mean vector wind, very unlikely that there are no patches with roughness in the footprint. As the mean vector wind increases, the probability of a calm patch will quickly decrease, and the mean microwave backscatter will increase. Also, natural slicks quickly disappear as the wind speed increases, and as such the occurrence of these is correlated to the amplitude of the mean vector wind over the footprint, as modelled by the GMF. Low scatterometer wind speeds are thus providing useful information.

Figure 3: Overview of wind retrieval algorithm

At high wind speeds wave breaking will further intensify, causing air bubbles, foam and spray at the ocean surface, and a more and more complicated ocean topography. Although
Theoretically not obvious, it is empirically found that $\sigma_0$ keeps increasing for increasing wind speed from 25 m/s to 40 m/s, and that a useful wind direction dependency remains [Ref-6], albeit gradually weakening.

### 3.2. Wind retrieval

The GMF has two unknowns, namely wind speed and wind direction, so, if more than two backscatter measurements are available then these two unknowns may be estimated using a maximum-likelihood estimator (MLE) as the objective function for determining wind vector solutions [Ref-7]. The MLE is defined by ([Ref-3]; Chapter II)

$$J = (z_{oi} - z_m(u, \chi_i))^2,$$

where $z = (\sigma_0)^{0.625}$ are the transformed backscatter data, $z_{oi}$ are the backscatter measurements, $z_m(u, \chi_i)$ are the model backscatter values corresponding to the measurements. The local minima of $J$ correspond to wind vector solutions. The three independent measurements (fore, mid and aft beam) well sample the azimuth variation of the GMF in order to resolve the wind direction, albeit ambiguously.

#### 3.2.1. Ambiguity removal

ASCAT scatterometer winds have a multiple ambiguity and there are up to four wind solutions in each WVC on the earth’s surface. These ambiguities are removed by applying constraints on the spatial characteristics of the output wind field, such as on rotation and divergence. Several ambiguity removal (AR) schemes were evaluated for ERS data [Ref-8] in the OSI SAF Development Phase. In addition to the subjective comparison of AR schemes, a method for the objective comparison of AR performance among the different schemes was used. In [Ref-8] it is shown that this way of comparison is effective to evaluate the shortcomings of AR schemes, but also reveals a more general way forward to improve AR, which is followed up by tuning 2D-VAR. For ASCAT this tuned version of 2D-VAR is used.

#### 3.2.2. Quality control

Since the scatterometer wind retrieval problem is over-determined, this opens up the possibility of quality control (QC) by checking the inversion residual $J$. If $J$ is normalised by the expected isotropic error variance then it is in theory inversely proportional to the log probability that a WVC is affected solely by a uniform wind. If $Var(\sigma_m) = (K_p^2 \sigma_0^2)$ are the measurement variances then the norm for the inversion residual is $\sqrt{3}$ times the RMS of $\left(Var(\sigma_m)\right)^{0.625}$ (Stoffelen, 1998). Generally this normalised MLE is substantial and, as a consequence, the inferred probability low, when there is substantial wind or sea state variability within the WVC.

As such, Stoffelen [Ref-3] and Portabella and Stoffelen [Ref-9] found that the inversion residual is well capable of removing cases with extreme variability (at fronts or centres of lows), or with other geophysical variables affecting the radar backscatter.
4. Processing scheme

KNMI has a processing chain running in near real-time with ASCAT data, including visualisation on the internet. This processor is based on the NWP SAF software and runs in the KNMI operational environment. The processing includes monitoring and archiving functionalities. A global overview of the modules of the ASCAT scatterometer processor is given below.

4.1. Backscatter averaging

In this step, which is performed only for the coastal product, the full resolution ASCAT level 1 product is used to re-compute backscatter values ($\sigma_0$s) in the Wind Vector Cells. The full resolution backscatter data are averaged using a spatial box filter rather than the Hamming filter that is used in the spatial averaging of the $\sigma_0$s of the nominal level 1 products. All full resolution $\sigma_0$s within 15 km from the Wind Vector Cell centre are used in the averaging [Ref-24]. Moreover, in Wind Vector Cells close to the coast, only those full resolution $\sigma_0$s are used that are entirely over sea. As the position of the averaged $\sigma_0$ is an averaged value of the positions of the full resolution $\sigma_0$s, the coastal Wind Vector Cell is slightly displaced away from the coast. On the other hand, it is possible to compute winds as close as ~15 km from the coast, while in the nominal 12.5-km product, Wind Vector Cells closer than ~35 km from the coast are flagged because of land contamination. See [Ref-23] and [Ref-24] for more information on the coastal product.

4.2. Backscatter calibration

The backscatter values in the Level 1 product are calibrated by adding a WVC and beam dependent bias in dB to the incoming $\sigma_0$s. The calibration table was obtained by fitting the actual measurements to the theoretical GMF function. More details are provided in [Ref-14]. Note that the calibrated backscatter values are only available within the wind processing software; the $\sigma_0$ data in the wind product are identical to those in the Level 1 product.

4.3. NWP collocation

KNMI receives NWP model data from ECMWF twice a day through the RMDCN. NWP model sea surface temperature and land-sea mask data are used to provide information about possible ice or land presence in the WVCs. WVCs with a sea surface temperature below 272.16 K (-1.0 °C) are assumed to be covered with ice and no wind information is calculated. Land presence within each WVC is determined by using the land-sea mask available from the model data. The weighted mean value of the land fractions of all model grid points within 80 km of the WVC centre is calculated. The weight of each grid point scales with $1/r^2$, where $r$ is the distance between the WVC centre and the model grid point. If this mean land fraction value exceeds a threshold of 0.02, no wind retrieval is performed. Also the land fractions present in the beam information in the level 1b product are considered: if any land fraction in the fore, mid or aft beam exceeds 0.02, no wind retrieval is performed.

NWP forecast wind data are necessary in the ambiguity removal step of the processing. Wind forecasts are available twice a day (00 and 12 GMT analysis time) with forecast time steps of +3h, +6h, ..., +36h. The model wind data are linearly interpolated with respect to time and location and put into the level 2 information part of each WVC (see section 6.2). Note that the ECMWF winds stored in the wind products are real winds rather than neutral winds.
4.4. Validation

Each step in the processing is validated separately by a quality control and monitoring scheme. The product validation step is controlled by visual inspection, and a statistical analysis is performed to control the validation steps. The inversion step is controlled in the same way. For ambiguity removal schemes an objective scheme exists that relies on initialisation with a one-day lead NWP forecast and validation of the ambiguity selection against NWP analyses, as in [Ref-8]. Moreover, de Vries et al [Ref-10] describe subjective comparison of the 2D-VAR and PreScat schemes by routine operational meteorologists.

4.5. Quality control and monitoring

In each WVC, the $\sigma_0$ data is checked for quality and completeness and the inversion residual (see section 3.2.2) is checked. Degraded WVCs are flagged; see section 6.2 for more details.

The quality of the delivered products is controlled through an ad hoc visual examination of the graphical products and the automatic production of control parameters [Ref-10]. The examination of the products is done at KNMI by experts. Specific tools have been developed to help this analysis. User queries obviously lead to the inspection of suspect products. The ad hoc and user-queried inspections are used for quality assurance.

An information file is made for each product. The content of the file is identical whatever the product and results from a compilation of all the global information concerning this product. From these files, various graphs are produced to visually display the confidence levels of the products and their evolution with time. These graphs are available in near-real time if you click on the ‘Monitoring information’ link on the product visualisation web pages. Data quality is also available to the users within the products; see section 6 and 7 for a description of quality flags.
5. Helpdesk, product dissemination and archive

For a swift response management procedure, user requests on the OSI SAF data products should be issued at the Ocean and Sea Ice SAF website (http://www.osi-saf.org/). User requests on the EARS products can be sent to scat@knmi.nl. User requests on the Soil Moisture content in the products can be sent to ops@eumetsat.int.

The ASCAT BUFR L2 products are disseminated on EUMETCast. Please consult http://www.eumetsat.int/, under ‘Access to Data’ for more information on EUMETCast dissemination and how to receive these and other EUMETSAT meteorological satellite products, or contact ops@eumetsat.int.

The BUFR and NetCDF products are also made available on a password-protected ftp site. This password is provided to new users by Email request. Please send your requests to scat@knmi.nl.

The ASCAT 25-km global winds in BUFR format are disseminated on the GTS. The messages are distributed to the Exeter node and can be identified by: header (T1T2A1A2ii) = ISXX[01-06]; CCCC = EHDB. The EARS ASCAT winds are also available on the GTS with identification header (T1T2A1A2ii) = ISXN[01-06]; CCCC = EHDB (25-km) and header (T1T2A1A2ii) = ISXN[11-16]; CCCC = EHDB (12.5-km).

A BUFR reader is available at www.knmi.nl/scatterometer/bufr_reader/.

The OSI SAF data ASCAT data are archived in the EUMETSAT Data Centre Archive, see http://www.eumetsat.int/Home/Main/DataAccess/EUMETSATDataCentre/index.htm?l=en (BUFR and NetCDF). For data not (yet) present in the EUMETSAT Data Centre, KNMI also keeps an off line archive of the global products. You can send a request to scat@knmi.nl.

The OSI SAF ASCAT data in NetCDF are also archived in the NASA Physical Oceanography Distributed Active Archive Center (PO.DAAC) archive, see http://podaac.jpl.nasa.gov/datasetlist?ids=Sensor&values=ASCAT&search=.

The ASCAT 25-km global winds can be explored through the Enhanced Satellite Archive Dataminer Naiad: http://www.naiad.fr/
6. Data description

6.1. Wind product characteristics

Physical definition
Horizontal equivalent neutral wind vector at 10 m height (see section 3.1.1).

Units and range
Wind speed is measured in m/s. The wind speed range is from 0-50 m/s, but wind speeds over 25 m/s are generally less reliable [Ref-6]. In the BUFR products, the wind direction is in meteorological (WMO) convention relative to North: 0 degrees corresponds to a wind flowing to the South with a clockwise increment. In the NetCDF products, the wind direction is in oceanographic convention: 0 degrees corresponds to a wind flowing to the North with a clockwise increment.

Input satellite data
The generation of ASCAT level 1b data by EUMETSAT is described in their technical documentation [Ref-11]. The global ASCAT data are acquired in Svalbard (Norway) and transmitted to the EUMETSAT central processing facilities in Darmstadt, where they are processed up to level 1b. Regional EARS data are acquired at Svalbard, processed up to level 1b and then transmitted to KNMI via a dedicated private network. The product contains geo-located measurement triplets on a satellite swath WVC grid of 25 km or 12.5 km size.

Geographical definition
The Metop satellite flies in a near-polar sun synchronous orbit at 98 degrees inclination at approximately 800 km orbit height. The two satellite swaths are located to the left and to the right of the satellite ground track. The swath width is either 21 25-km size WVCs, corresponding to 525 km or 41 12.5-km size WVCs, corresponding to 512.5 km. Products are organised in rows of 42 or 82 WVCs, respectively.

Coverage
The OSI SAF products have global coverage. For the ascending Metop-A tracks, the EARS data correspond to the last 30 minutes of the X-band data dump when the satellite passes the Svalbard ground station. For the descending tracks, also AHRPT data from several ground stations are used, adding coverage in the European seas and Atlantic and Indian oceans. An up-to-date list of ground stations in use is available on http://www.eumetsat.int/Home/Main/Satellites/GroundNetwork/EARSSystem/EARS-ASCAT/index.htm?l=en. Actual wind coverage is available on the OSI SAF and EARS ASCAT product visualisation websites (see http://www.knmi.nl/scatterometer/).

Output product
The input product in BUFR is processed into a BUFR output product including a unique wind solution (chosen), its corresponding ambiguous wind solution and quality information (distance to cone, quality flag). The 25-km cell spacing product contains up to four wind solutions, whereas the 12.5-km product contains only one wind solution (the chosen one). The products are also available in NetCDF format; see section 10 for more details.

Delivery time
A wind product is available for distribution within 10 minutes after the input product reception at KNMI. For the global product, the delivery time between acquisition of the data and availability for the user ranges from 40 to 120 minutes. The regional product is available within 25 minutes after data acquisition. Timeliness statistics are available on the product visualisation websites, after clicking on the 'Monitoring information' link.
Expected accuracy

The expected accuracy is defined as the expected bias and standard deviation of the primary calculations. The accuracy is validated against in situ wind measurements from buoys, platforms, or ship, and against NWP data. Even better, the errors of all NWP model winds, in situ data, and scatterometer winds are computed in a triple collocation exercise [Ref-3]. The performance is pretty constant over the globe and depends mainly on the sub footprint wind variability. The performance of the products issued by the OSI SAF and EARS is characterised by a wind component RMS error smaller than 2 m/s and a bias of less than 0.5 m/s in wind speed.

6.2. File formats

Wind products are in BUFR Edition 4 or in NetCDF format. A complete description of BUFR can be found in WMO publication No 306, Manual on Codes. The graphical displays of the wind products are available and explained on the web: see the links on http://www.knmi.nl/scatterometer/.

The file name convention for the Level 2 BUFR product is

\[
\text{ascat}_\text{YYYYMMDD}_\text{HHMMSS}_\text{metopa}_\text{ORBIT}_\text{SRV}_T_\text{SMPL}_{(-\text{CONT})}.l2\_bufr, \text{ where}
\]

- ascat denotes the instrument
- YYYYMMDD denotes the date of the first data in the file
- HHMMSS denotes the time (UTC) of the first data in the file
- metopa denotes the satellite name
- ORBIT is the orbit number (00000-99999)
- SRV is the service (eps for global OSI SAF or ear for regional EARS)
- T is the processing type (o for operational, t for test)
- SMPL is the WVC sampling (cell spacing): 250 or 125, or coa for the coastal product which has a WVC spacing of 12.5 km, as well.
- CONT (optional field) refers to the product contents: ovw for a product containing only Ocean Vector Winds and no soil moisture information, CONT is omitted if the product contains both winds and soil moisture.
- l2_bufr (l is the lowercase L) denotes Level 2 product in BUFR

Examples of file names are

- ascat_20070213_021503_metopa_01653_eps_t_250.l2_bufr for a global test product containing soil moisture information, or
- ascat_20070213_021503_metopa_01653_ear_o_125_ovw.l2_bufr for a regional operational product containing no soil moisture information.

The filename convention follows the EUMETSAT proposal as described in [Ref-12]. The wind product is stored in the BUFR format as proposed for ASCAT and described in [Ref-13], a list of descriptors (fields) contained in each WVC is provided in section 9.

The BUFR data contain three main sections: level 1 information (fields 1-62), level 2 Soil Moisture information (fields 63-82) and level 2 wind information (fields 83 and up). The L1 information is simply copied into the L2 data, except for the coastal product, where the backscatter data are recalculated based on the data in the ASCAT full resolution level 1 product [Ref-23]. More information on the L1 data can be found in the EUMETSAT ASCAT Product Guide, see the link in section 1.4. The Soil Moisture data are produced by and under the responsibility of the EUMETSAT central facilities. The coastal product does not contain
Soil Moisture information since it contains recalculated backscatter information which is not input to the Soil Moisture computations. In this way, inconsistency between the backscatter data and Soil Moisture in one product is avoided.

Field 2 (‘Identification of originating/generating sub-centre’) is set to 0 for OSI SAF products and to 1 for EARS products.

Field 84 (‘Generating Application’) contains the value 91 which means that first guess model winds are used for ambiguity removal. The interpolated model winds are in the fields 85-86.

The Wind Vector Cell Quality Flag (field 89, table 021155) has the following definitions:

<table>
<thead>
<tr>
<th>Description</th>
<th>BUFR bit</th>
<th>Fortran bit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not enough good sigma-0 available for wind retrieval</td>
<td>1</td>
<td>22</td>
</tr>
<tr>
<td>Poor azimuth diversity among sigma-0 for wind retrieval</td>
<td>2</td>
<td>21</td>
</tr>
<tr>
<td>Any beam noise content above threshold</td>
<td>3</td>
<td>20</td>
</tr>
<tr>
<td>Product monitoring not used</td>
<td>4</td>
<td>19</td>
</tr>
<tr>
<td>Product monitoring flag</td>
<td>5</td>
<td>18</td>
</tr>
<tr>
<td>KNMI quality control fails</td>
<td>6</td>
<td>17</td>
</tr>
<tr>
<td>Variational quality control fails</td>
<td>7</td>
<td>16</td>
</tr>
<tr>
<td>Some portion of wind vector cell is over land</td>
<td>8</td>
<td>15</td>
</tr>
<tr>
<td>Some portion of wind vector cell is over ice</td>
<td>9</td>
<td>14</td>
</tr>
<tr>
<td>Wind inversion not successful for wind vector cell</td>
<td>10</td>
<td>13</td>
</tr>
<tr>
<td>Reported wind speed is greater than 30 m/s</td>
<td>11</td>
<td>12</td>
</tr>
<tr>
<td>Reported wind speed is less than or equal to 3 m/s</td>
<td>12</td>
<td>11</td>
</tr>
<tr>
<td>Rain flag for the wind vector cell is not usable</td>
<td>13</td>
<td>10</td>
</tr>
<tr>
<td>Rain flag algorithm detects rain</td>
<td>14</td>
<td>9</td>
</tr>
<tr>
<td>No meteorological background used</td>
<td>15</td>
<td>8</td>
</tr>
<tr>
<td>Data are redundant</td>
<td>16</td>
<td>7</td>
</tr>
<tr>
<td>Distance to GMF too large</td>
<td>17</td>
<td>6</td>
</tr>
<tr>
<td>Reserved</td>
<td>18-23</td>
<td>5-0</td>
</tr>
<tr>
<td>Missing value</td>
<td>All 24 set</td>
<td>All 24 set</td>
</tr>
</tbody>
</table>

In Fortran, if the Wind Vector Cell Quality is stored in an integer `I` then use `BTEST(I,NDB-NB-1)` to test BUFR bit `NB`, where `NDW=24` is the width in bits of the data element in BUFR.

If the ‘monitoring not used’ bit (BUFR bit 4) is set to zero, the product is monitored. If the product is monitored and the ‘monitoring flag’ bit (BUFR bit 5) is set to zero, the product is valid; otherwise it is rejected by the monitoring, see section 7.2. The monitoring bits are set to the same value in all WVCs in one BUFR output file.

If the KNMI QC flag (BUFR bit 6) is set in a WVC this means that the backscatter information is of poor quality for various reasons, such as a too large inversion residual, or a too high noise value in the input product. WVCs, in which the KNMI QC flag is set, are not used in the calculation of the analysis field in the ambiguity removal step. However, after the ambiguity removal the wind solution closest to the analysis field is chosen (if wind solutions are present in the WVC). This means that such a WVC may contain a selected wind solution, but it is suspect.

Land presence flag is set if a land fraction (see section 4.3) larger than zero is calculated for the WVC. As long as the land fraction is below the limit value, a reliable wind solution may however still be present.

Ice presence flag is set if the SST calculated for the WVC (see section 4.3) is below 272.16 (-1.0 °C).

If the variational QC flag is set, the wind vector in the WVC is rejected during ambiguity removal due to spatial inconsistency. A wind solution is present, but it may be suspect.
It is recommended not to use WVCs with the monitoring flag, the KNMI quality control flag or the variational quality control flag set. See section 7.2 for more information on product reliability.

The ‘likelihood computed for solution’ (descriptor 021104) actually contains the $\log_{10}$ of the calculated likelihood for the wind solution. This is done since otherwise values close to zero will be rounded to zero in the BUFR encoding. In order to recalculate the probability, the user should compute $10$ to the power $\langle$value from BUFR$\rangle$. 
7. Data quality

7.1. Accuracy

As introduced in section 6.1, the accuracy should be better than 2 m/s in wind component RMS with a bias of less than 0.5 m/s in wind speed.

Figure 4: Contoured histograms of the 25-km ASCAT wind product.

From these results, it is clear that the spread in the distributions is small. The wind speed bias is quite small and it is clear from the bottom plots that the standard deviations in the components are well below 2 m/s. Note that the data in the plots include WVCs that are
quality controlled, i.e. have a too large inversion residual. This means that in the end product, the average quality will be even better, since WVCs of poor quality are flagged and can be filtered out.

7.2. Reliability and data use

For global coverage products, it is possible to generate a product monitoring flag, based on a multi-step check. If in one product the number of WVC Quality Control rejections, the mean residual, or the wind speed bias with respect to the NWP background is above certain threshold values, then the monitoring event flag is raised since the product is suspicious. The threshold values are based on evaluation of the product statistics over a long period [Ref-10]. Because of the granular nature of the EARS product, where files containing only a few minutes of data are generated and disseminated in real-time, this mechanism raises problems if there are not enough data in one pass for a statistically valid check. The multi-step monitoring check is sensitive to noise which is larger when mean values are calculated over fewer WVCs. If, accordingly, the thresholds are set high, many bad products will pass the check. On the other hand, if the threshold values are set too low, too many false alarms will be raised. This problem is solved by evaluating not only the data of the last processed pass, but by evaluating the last 50 minutes of data present, although originating from several different passes. In case of instrument degradation or other problems, the monitoring event flag will be raised with some delay, inherent to the discontinuous nature of the EARS data stream.

7.3. Ambiguity selection

A version of 2D-VAR is used with minimal regional performance differences [Ref-10]. This improved version was obtained after taking into account the findings of [Ref-8]. A variational QC step is performed to reject a few WVCs, which are in meteorological unbalance with their neighbours. The variational QC flagged WVCs are flagged in the output product.
8. Glossary

AR  Ambiguity Removal
ASCAT  Advanced Scatterometer
BUFR  Binary Universal Format Representation
EARS  EUMETSAT Advanced Retransmission Service
EPS  EUMETSAT Polar System
ERS  European Remote-Sensing Satellite
EUMETCast  EUMETSAT's Digital Video Broadcast Data Distribution System
EUMETSAT  European Organisation for the Exploitation of Meteorological Satellites
HDF  Hierarchical Data Format
JPL  Jet Propulsion Laboratory (NASA)
KNMI  Royal Netherlands Meteorological Institute
Metop  Meteorological operational satellite
NASA  National (US) Air and Space Agency
NetCDF  Network Common Data Form
NOAA  National (US) Oceanic and Atmospheric Administration
NSCAT  NASA Scatterometer
NWP  Numerical Weather Prediction
OSI SAF  Ocean and Sea Ice SAF
PO.DAAC  Physical Oceanography Distributed Active Archive Center
QC  Quality Control
QuikSCAT  US dedicated scatterometer mission
RMDCN  Regional Meteorological Data Communication Network
SAF  Satellite Application Facility
SeaWinds  US scatterometer on-board QuikSCAT platform
SM  Soil Moisture
SOS  Scatterometer Ocean Stress
SST  Sea Surface Temperature
SVVP  Software Verification and Validation Plan
U  West-to-east wind component
UMARF  EUMETSAT Unified Meteorological Archive and Retrieval Facility
V  South-to-north wind component
WVC  Wind Vector Cell
## 9. ASCAT BUFR data descriptors

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<tr>
<th>Number</th>
<th>Descriptor</th>
<th>Parameter</th>
<th>Unit</th>
</tr>
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<td>Mean Surface Soil Moisture</td>
<td>Numeric</td>
</tr>
<tr>
<td>75</td>
<td>040004</td>
<td>Rain Fall Detection</td>
<td>Numeric</td>
</tr>
<tr>
<td>76</td>
<td>040005</td>
<td>Soil Moisture Correction Flag</td>
<td>Flag Table</td>
</tr>
<tr>
<td>77</td>
<td>040006</td>
<td>Soil Moisture Processing Flag</td>
<td>Flag Table</td>
</tr>
<tr>
<td>78</td>
<td>040007</td>
<td>Soil Moisture Quality</td>
<td>%</td>
</tr>
<tr>
<td>79</td>
<td>020065</td>
<td>Snow Cover</td>
<td>%</td>
</tr>
<tr>
<td>80</td>
<td>040008</td>
<td>Frozen Land Surface Fraction</td>
<td>%</td>
</tr>
<tr>
<td>81</td>
<td>040009</td>
<td>Inundation And Wetland Fraction</td>
<td>%</td>
</tr>
<tr>
<td>82</td>
<td>040010</td>
<td>Topographic Complexity</td>
<td>%</td>
</tr>
<tr>
<td>83</td>
<td>025060</td>
<td>Software Identification</td>
<td>Numeric</td>
</tr>
<tr>
<td>84</td>
<td>001032</td>
<td>Generating Application</td>
<td>Code Table</td>
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<tr>
<td>85</td>
<td>011082</td>
<td>Model Wind Speed At 10 m</td>
<td>m/s</td>
</tr>
<tr>
<td>86</td>
<td>011081</td>
<td>Model Wind Direction At 10 m</td>
<td>Degree True</td>
</tr>
<tr>
<td>87</td>
<td>020095</td>
<td>Ice Probability</td>
<td>Numeric</td>
</tr>
<tr>
<td>88</td>
<td>020096</td>
<td>Ice Age (A-Parameter)</td>
<td>dB</td>
</tr>
<tr>
<td>89</td>
<td>021155</td>
<td>Wind Vector Cell Quality</td>
<td>Flag Table</td>
</tr>
<tr>
<td>90</td>
<td>021101</td>
<td>Number Of Vector Ambiguities</td>
<td>Numeric</td>
</tr>
<tr>
<td>91</td>
<td>021102</td>
<td>Index Of Selected Wind Vector</td>
<td>Numeric</td>
</tr>
<tr>
<td>92</td>
<td>031001</td>
<td>Delayed Descriptor Replication Factor</td>
<td>Numeric</td>
</tr>
<tr>
<td>93</td>
<td>011012</td>
<td>Wind Speed At 10 m</td>
<td>m/s</td>
</tr>
<tr>
<td>94</td>
<td>011011</td>
<td>Wind Direction At 10 m</td>
<td>Degree True</td>
</tr>
<tr>
<td>95</td>
<td>021156</td>
<td>Backscatter Distance</td>
<td>Numeric</td>
</tr>
<tr>
<td>96</td>
<td>021104</td>
<td>Likelihood Computed For Solution</td>
<td>Numeric</td>
</tr>
<tr>
<td>97</td>
<td>011012</td>
<td>Wind Speed At 10 m</td>
<td>m/s</td>
</tr>
<tr>
<td>98</td>
<td>011011</td>
<td>Wind Direction At 10 m</td>
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<tr>
<td>99</td>
<td>021156</td>
<td>Backscatter Distance</td>
<td>Numeric</td>
</tr>
</tbody>
</table>

Note that descriptor numbers 93-96 can be repeated 1 to 144 times, depending on the value of the Delayed Descriptor Replication Factor (descriptor number 92)
10. **NetCDF data format**

The ASCAT wind products are also available in the NetCDF format, with the following characteristics:

- The data are organised in full orbits rather than in 3-minute granules.
- The data contain, contrary to the BUFR data, only Level 2 wind and sea ice information, no sigma0 nor soil moisture information. The aim was to create a compact and easy to handle product for oceanographic and climatological users.
- The data contain only the selected wind solutions, no ambiguity information.
- The wind directions are in oceanographic rather than meteorological convention (see section 6.1)
- The format is usable for ASCAT, ERS, QuikSCAT and any other scatterometer data.
- The data has file sizes comparable to those of the corresponding BUFR data (e.g., one orbit of ASCAT 25-km wind data is 2.6 MB in BUFR and 2.2 MB in NetCDF). When compressed with gzip, the size of one orbit in NetCDF reduces to ~820 kB.
- The NetCDF data in near real-time are only available on the KNMI FTP server, but EUMETCast dissemination can be considered on user request.

The file name convention for the gzipped NetCDF product is

```
ascat_YYYYMMDD_HHMMSS_metopa_ORBIT_SRV_T_SMPL_VERS(_CONT).l2.nc.gz
```

where the meaning of the fields is identical to those in the BUFR file names (see section 6.2). Note that in NetCDF the SRV is always “eps” (global data) and the CONT is always “ovw” (Ocean Vector Winds). The VERS part of the file name denotes the software version. A file name example is: `ascat_20090826_051502_metopa_14797_eps_o_250_1018_ovw.l2.nc.gz`

Below are some meta data contained in the NetCDF data files:

```plaintext
dimensions:
  NUMROWS = 1581;
  NUMCELLS = 42;
variables:
  int time(NUMROWS, NUMCELLS);
    time:long_name = "time";
    time:units = "seconds since 1990-01-01 00:00:00";
  int lat(NUMROWS, NUMCELLS);
    lat:long_name = "latitude";
    lat:units = "degrees_north";
  int lon(NUMROWS, NUMCELLS);
    lon:long_name = "longitude";
    lon:units = "degrees_east";
  short wvc_index(NUMROWS, NUMCELLS);
    wvc_index:long_name = "cross track wind vector cell number";
    wvc_index:units = "1";
  short model_speed(NUMROWS, NUMCELLS);
    model_speed:long_name = "model wind speed at 10 m";
    model_speed:units = "m s-1";
  short model_dir(NUMROWS, NUMCELLS);
    model_dir:long_name = "model wind direction at 10 m";
    model_dir:units = "degree";
  short ice_prob(NUMROWS, NUMCELLS);
    ice_prob:long_name = "ice probability";
```

```bash
```
ice_prob:units = "1";
short ice_age(NUMROWS, NUMCELLS);
    ice_age:long_name = "ice age (a-parameter)";
    ice_age:units = "dB"
int wvc_quality_flag(NUMROWS, NUMCELLS);
    wvc_quality_flag:long_name = "wind vector cell quality";
    wvc_quality_flag:flag_masks = 64, 128, 256, 512, 1024, 2048, 4096, 8192, 16384, 32768, 65536, 131072, 262144, 524288, 1048576, 2097152, 4194304;
    wvc_quality_flag:flag_meanings = "distance_to_gmf_too_large
    data_are_redundant_no_meteorological_background_used
    rain_detected
    rain_flag_not_usable
    small_wind_less_than_or_equal_to_3_m_s
    large_wind_greater_than_30_m_s
    wind_inversion_not_successful
    some_portion_of_wvc_is_over_ice
    some_portion_of_wvc_is_over_land
    variational_quality_control_fails
    knmi_quality_control_fails
    product_monitoring_event_flag
    product_monitoring_not_used
    any_beam_noise_content_above_threshold
    poor_azimuth_diversity
    not_enough_good_sigma0_for_wind_retrieval";
short wind_speed(NUMROWS, NUMCELLS);
    wind_speed:long_name = "wind speed at 10 m";
    wind_speed:units = "m s-1"
short wind_dir(NUMROWS, NUMCELLS);
    wind_dir:long_name = "wind direction at 10 m";
    wind_dir:units = "degree"
short bs_distance(NUMROWS, NUMCELLS);
    bs_distance:long_name = "backscatter distance";
    bs_distance:units = "1"

// global attributes:
:title = "MetOp-A ASCAT Level 2 25.0 km Ocean Surface Wind Vector Product";
:title_short_name = "ASCAT-L2-25km";
:Conventions = "CF=1.4";
:institution = "EUMETSAT/OSI SAF/KNMI"
:source = "MetOp-A ASCAT"
:software_identification_level_1 = 702;
:instrument_calibration_version = 0;
:software_identification_wind = 1018;
:pixel_size_on_horizontal = "25.0 km"
:service_type = "eps"
:processing_type = "O"
:contents = "ovw"
:granule_name = "ascat_20090826_051502_metopa_14797_eps_o_250_1018_ovw.l2.nc"
:processing_level = "L2"
:orbit_number = 14797
:start_date = "2009-08-26"
:start_time = "05:15:02"
:stop_date = "2009-08-26"
:stop_time = "06:53:56"
:equator_crossing_longitude = "244.606"
:equator_crossing_date = "2009-08-26"
:equator_crossing_time = "05:12:26"
:rev_orbit_period = "6081.7"
:orbit_inclination = "98.7"
:history = "N/A"
:comment = "Orbit period and inclination are constant values. All wind directions in oceanographic convention (0 deg. flowing North)";
:creation_date = "2009-08-26"
:creation_time = "08:31:31";